



**Assertion 1:**

We have put in place arrangements for effective financial management during the year, and for the preparation of the accounting statements

**Response: NO**

**Explanation:**

Wrose Parish Council has been without a competent clerk and RFO for the majority of financial year, the council did not meet during the early months of the pandemic and all councillors were subsequently disqualified from office. Wrose Parish Council has, recently appointed a locum clerk/RFO and implemented measures to address its failings in the financial year 2020/21:

- The adoption and adherence to Financial Regulations
- Monthly monitoring of budget against spend
- Receipts and payments recorded in a timely manner
- Bank reconciliation statements presented and evidenced at council meetings

**Assertion 2:**

We have maintained an adequate system of internal control, including measures designed to prevent and detect fraud and corruption and reviewed its effectiveness

**Response: NO**

**Explanation:**

Wrose Parish Council has been without a competent clerk and RFO for the majority of financial year, the council did not meet during the early months of the pandemic and all councillors were subsequently disqualified from office. Wrose Parish Council has, recently appointed a locum clerk/RFO and implemented measures to address its failings in the financial year 2020/21:

- Implementation of an annual audit plan, which includes review process
- Adoption of Standing Orders
- Adoption of Financial Regulations
- Approved a system of robust internal controls undertaken on a regular basis
- VAT to be reclaimed

**Assertion 4:**

We provided proper opportunity during the year for the exercise of electors' rights in accordance with the requirements of the Accounts and Audit

**Response: NO**

**Explanation:**

Wrose Parish Council has been without a competent clerk and RFO for the majority of financial year, the council did not meet during the early months of the pandemic and all councillors were subsequently disqualified from office. Wrose Parish Council has, recently

appointed a locum clerk/RFO and implemented measures to address its failings in the financial year 2020/21:

- Published and displayed the Notice of Electors Rights for 2020/21
- Implementation on annual audit plan which details this requirement

**Assertion 5:**

We carried out an assessment of the risks facing this smaller authority and took appropriate steps to manage those risks, including the introduction of internal controls and/or external insurance cover where required.

**Response: NO**

**Explanation:**

Wrose Parish Council has been without a competent clerk and RFO for the majority of financial year, the council did not meet during the early months of the pandemic and all councillors were subsequently disqualified from office. Wrose Parish Council has, recently appointed a locum clerk/RFO and implemented measures to address its failings in the financial year 2020/21:

- Produced a detailed risk management scheme
- Scheduled a review of risks and risk management scheme within the audit plan
- Reviewed the council's insurance provision to ensure provision is adequate for risks identified

**Assertion 6:**

We maintained throughout the year an adequate and effective system of internal audit of the accounting records and control systems

**Response: NO**

**Explanation:**

Wrose Parish Council has been without a competent clerk and RFO for the majority of financial year, the council did not meet during the early months of the pandemic and all councillors were subsequently disqualified from office. Wrose Parish Council has, recently appointed a locum clerk/RFO and implemented measures to address its failings in the financial year 2020/21:

- Although no internal audit measures were undertaken throughout the financial year, a thorough internal audit was completed by a competent internal auditor in early August 2021
- Detailed audit and action plan adopted to address matters raised in Internal Auditors Report

**Assertion 7:**

We took appropriate action on all matters raised in reports from internal and external audit

**Response: NO**

**Explanation:**

Wrose Parish Council has been without a competent clerk and RFO for the majority of financial year, the council did not meet during the early months of the pandemic and all councillors were subsequently disqualified from office. Wrose Parish Council has, recently appointed a locum clerk/RFO and implemented measures to address its failings in the financial year 2020/21:

- Considered Internal Auditors report for 2020/21 at Wrose Parish Council Meeting held 12 August 2021

- Detailed audit and action plan adopted to address matters raised in Internal Auditors Report